

RAPID TRANSPORT SERVICE IN A NETWORK  
TO PERIPHERAL DEVICE SERVERS

CROSS-REFERENCE TO RELATED APPLICATION(S)

5 This application claims benefit of Provisional Application No. 60/451,106 filed February 28, 2003 for "Rapid Transport Service" by E. Taghizadeh, G.B. Edwards, K. Robideau, and S.P. Erler.

## BACKGROUND

The present invention relates to data communications between network servers and peripheral device servers on a network. More particularly, the 5 present invention relates to a system for low latency data transmissions over a Local Area Network from a network to a peripheral device server.

Generally, the networking and serial communications industries define the term “latency” as the time required for a packet of information to travel from a source to a destination.

10 Traditionally, internal PC serial cards enabled connection and integration of a wide variety of peripheral devices that provide Input/Output (I/O) support for applications (such as DNC, material handling, SCADA, and Point-of-Sale applications). However, PC serial cards require that the user locate the computer within close proximity of the connected serial devices. Alternatively, 15 the user could install expensive long-distance serial cabling to connect the computer to peripherals that were deployed remotely from the PC.

With the general adoption of 10Base-T Ethernet by the mid 1990s, serial cards began to outgrow these proximity limitations. In the late 1990s, Comtrol Corporation of Minneapolis, Minnesota and other serial connectivity companies 20 released the first network-based serial concentrators that offered Ethernet based connections to serial devices. By allowing connections to serial devices over the Ethernet Local Area Networks (LANs), these network serial concentrators or “device servers” gained immediate industry attention. New driver software was developed to enable PC-based application software access to the remotely 25 deployed (Network-attached) communication ports, apparently seamlessly. The device servers allowed integrators and system designers to eliminate serial connections at the individual computers and to provide serial connections over the LAN through a device server positioned at the serial peripheral location, regardless of how far they were from the application server. Since the device 30 servers allowed users to maintain control from remotely located networked

computers, integrators and systems designers were able to lower equipment and installation costs while enjoying a new level of design flexibility.

For the vast majority of existing serial port related applications, the transition from individual computers with serial cards connected directly to the device to a remotely located, network attached serial device server offered several advantages. First, serial device location was no longer constrained by distance from the PC hosting the Application software with which the device was working. This was significant due to environmental considerations that may have been acceptable for a serial device but not suitable for a PC. Second, existing Ethernet cabling replaced costly serial cabling. Third, Host Application PCs could be moved or changed with no impact to the serial devices.

For serial devices attached directly to a computer communications port (COM port), latency typically is measured in terms of milliseconds, which means that few (if any) users detect latency induced delays in the performance of the peripheral device attached to the COM port. However, with migration of serial ports onto the network, elements such as network traffic, poorly written COM port redirector software, and network hardware (including hubs and routers) each contributed to varying degrees of delay, which could result in a cumulative delay of up to hundreds of milliseconds.

For most applications, such network-induced transmission delays do not present an overriding concern. However, in markets such as material handling, satellite communications, and real-time device monitoring, a delay of even one hundred milliseconds can create major problems. For example, a company that operates an automated package handling system may connect various serial devices (scanners, scales, sorters) used along its conveyor system to a material control application program running on a PC in the shop supervisor's office. When a package passes the scanner, it reads a label, transmits the data over the network to the application which in turn sends a signal to the sorter which directs the package to the proper destination. A delay in receiving the scanner data or receiving the sorter control directive can result in the package having

already passed the sorter before the proper routing directions were received. As you can imagine, such a situation would cause chaos and undermine the reliability of the entire package handling system.

The near real-time transmission requirements for such time-sensitive applications place constraints on system designers to include only the most efficiently designed device server. To meet the stringent requirements of these time sensitive applications, device server manufacturers must take great care to optimize their hardware and driver software for low-latency operation. If designed properly, the combination of low-latency device servers with proper network layout and bandwidth considerations will ensure that the benefits of device server technology can be realized even in the most time-critical installations.

Moreover, even with the optimum combination of hardware elements, network transmission protocols and routers introduce additional transmission delays. Furthermore, various interactions between the operating system of the application server, the serial driver software installed on the server for communicating with the serial device server, and the firmware operating in the serial device server can each contribute to the overall transmission latency, which are difficult to detect and to isolate.

Therefore, it is desirable to provide a serial device server communication capability that reduces transmission latencies between a PC server and a serial device server to a level that approximates the latency of a directly connected serial device.

## BRIEF SUMMARY

A host computer (or Application PC) having a server driver and peripheral specific drivers is connected to a network. A device server having an operating system, application software, a memory buffer and ports for one or more serial devices is also connected to the network. An application operating on the Application PC communicates to the remote serial device via the network. The device driver mediates between the application on the host computer to take data from the application bound for the serial device and transmit it to the device server via a network. The device server reads this data from the network and writes the data first to the FIFO registers and then to the associated queue. Data from the serial device is received by the device server and checked for inter-character interval timeouts before being placed into a queue. The data is read from the queue using a semi-blocking read function and is sent along with flags indicating inter-character timeouts to the host computer via the network.

**BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1 illustrates the OSI model of the prior art.

FIG. 2 shows a block diagram of a device server system of the present  
5 invention.

FIG. 3 shows an expanded view of the device server system of the  
present invention.

## DETAILED DESCRIPTION

Generally, the International Organization for Standardization developed the OSI reference model to facilitate open interconnection of computer systems.

- 5 Generally, the OSI model categorizes the various process of a computer system into seven distinct functional layers.

FIG. 1 illustrates the seven layer OSI model 10, comprised of the physical layer 12 at the lowest level, the data link layer 14, the network layer 16, the transport layer 18, the session layer 20, and the presentation layer 22, and the 10 application layer 24. From a general perspective, layers 1-3 provide network access, while layers 4-7 involve the logistics of supporting end-to-end communications.

While the OSI model 10 is still applicable to most networking systems, few products are fully OSI compliant. Instead, the basic layer framework is 15 often adapted to new standards, sometimes with substantial changes in the boundaries between the higher layers. As shown the applications and processes tend to spill over from the application layer 24 into the presentation layer 22 and the session layer 20.

When two computers or devices communicate over a Local Area 20 Network (LAN), logically the computers can be thought of as communicating directly between transport layers 18 on each computer. However, in actuality, data is passed from the transport layer 18 to the network layer 16 and to the data link layer 14 and onto the physical layer 12, where the data is transmitted over the LAN. The data is then unpacked at the receiving computer or device in 25 reverse order. Thus, communications flow vertically through the protocol stacks of the OSI model 10, though each layer effectively perceives itself as capable of communicating with its counterpart layer on remote computers.

Generally, TCP/IP or any transport protocol can be considered as occupying the transport layer 18 of the OSI model 10. In operation, an 30 application program, communicating at the application layer 22, passes data to

the transport layer 18 where the transport protocol packages the data into segments for transmission over the network. Since the application program can pass large amounts of data, the transport protocol must be capable of breaking down large data blocks into more manageable pieces. Each piece of data is 5 called a segment. Part of the process of segmentation of the data involves the population of TCP header fields.

The network layer 16 generally arranges a logical connection between a source and a destination over the network, including the selection and management of a route for data to flow between the source and destination. 10 Thus, the network layer 16 generally provides services associated with the movement of data, such as addressing, routing, and switching.

FIG. 2 shows a peripheral device server system 26 according to the present invention. The system 26 has a host computer 28 connected to an Ethernet hub 30 via Ethernet cabling 32. The Ethernet hub 30 is in turn 15 connected via Ethernet cabling 32 to the device server 34, to which one or more serial peripheral devices 36 are attached via serial cables 38. Serial peripheral devices 36 may include modems, printers, scanning devices, monitors, and the like. Any device that is ordinarily connected via a serial port connection on a computer can be a serial peripheral device 36. In the present invention, such 20 devices 36 are not connected directly to the host computer 28, but instead are connected directly to the device server 34 as shown.

This arrangement allows the serial devices 36 to be shared by more than one computer over the local area network. Generally, the application program operating on the host computer triggers an event call to the device server 34. 25 The serial data is then transmitted from the host computer 28 over the Ethernet cabling 32 to the hub 30 and on to the device server 34, where the data is directed to a selected serial peripheral device 36.

As shown in FIG. 3, the system 26 has a host computer 28 connected via Ethernet cabling 32 to hub 30, which is in turn connected to the device server 34

via the Ethernet cabling 32. Finally, the serial devices 36a,36b are connected to the device server 34 via serial cables 38.

Logically, the host computer 28 has an operating system 40, application/process software 42, device specific serial drivers 46, and a serial server driver 48. It will be understood by a worker skilled in the art that this description is not intended to provide a detailed description of all the elements that make up a computer, but rather to indicate specific elements relating to this invention. Other memory spaces, drivers, ports, and various other elements may also be part of the host computer.

Generally, the application/process software 42 interacts with the device specific serial drivers 46 when the application accesses a serial device 36. Conventionally, such a function call from the application/process software 42 would invoke the serial driver 46 for the specific serial device, and the serial driver 46 would write data in a standard form to a buffer on the serial chip for the specific serial device (which would be directly connected to a communications port on the host computer).

In the present invention, the application/process software 42 interacts with the server driver 48 when the application accesses serial devices 36 connected to the device server 34. The server driver 48 transfers the data to the device server 34 via the Ethernet cabling 32. The device server 34 then transfers the data to the specific serial device 36.

This transfer process may invoke layers of the OSI model 10 by utilizing, for example, the transport layer 18 to encode and transmit the data through the physical link (i.e. the Ethernet cable 32). Alternatively, the data may be passed directly to the datalink layer 14 for low level encoding and transmission onto the Ethernet cabling 32. In this alternative embodiment, a data link protocol may be used to directly transmit the data using Ethernet framing and addressing directly to the device server 34, thereby eliminating latency delays introduced by the TCP/IP protocol processing. However, the

type of transport protocol used may be chosen by the system designer according to the latency requirements of the system 26.

Once the data is passed to the Ethernet cabling 32, the data is routed via the hub 30 to the device server 34. In one embodiment, the device server 34 has  
5 an operating system 50, a buffer 52, applications 54, and a serial chip memory 56a,56b, including a First input/first output (FIFO) register 58a,58b and a memory queue 60a,60b.

In an alternative embodiment, the applications 54 and the operating system 50 can be integrated so that there is no distinction between them. In the  
10 embodiment shown, the applications 54 may be stored on the host computer 28 and loaded during startup, or alternatively, the applications 54 may be stored in a memory location (not shown) on the device server 34.

Generally, the data is received by the device server 34, decoded according to the type of transport protocol used to send the data, and the block  
15 of data is stored in the buffer 52. The applications 54 then use a semi-blocking read function and a push to FIFO function to improve timing and latency delays between receipt of the data and output of the data to the selected peripheral device 36A,36B.

The data block includes header information specifying the selected  
20 peripheral device 36a, and the applications 54 use the header information to direct the data.

Conventionally, blocks of information can be read in one of two ways:  
blocking or non-blocking. A blocking read does not return until the requested  
25 number of data bytes are available. A non-blocking read always returns immediately with however much data is available even if none is available.

Both of these methods cause latency problems. With a blocking read, a long delay may be caused while the call waits for the requested number of bytes to be received. Non-blocking calls must be repeated periodically to check for data availability, and additional latency is introduced by the polling period.

The applications 54 of the present invention eliminate these latency delays by using a "semi-blocking" read which will wait until some non-zero number of data bytes is available and then return all available data up to a specified maximum amount. Thus latency is reduced because the call returns  
5 data immediately when it becomes available without waiting for a specified byte count or a polling period.

Data read from the network must then be written to the selected serial device 36A,36B. Each serial device 36A,36B has an associated chip memory 56A,56B, including a FIFO register 58A,58B and a memory queue 60A,60B.  
10 The applications 54 determine the selected serial device 36A. If the corresponding queue 60A is empty, the applications 54 write portions of the data block to the FIFO register 58A first, and then any remaining information (once the FIFO register 58A is full) is written to the queue 60A.

Conventionally, data is written directly to the queue and the device server 34 then moves the data from the queue into the FIFO register. This adds  
15 a potential queuing delay to the overall latency of the system. In the present invention, by pushing data first to the FIFO register 58A, the system utilizes the available register space and pushes the data to the serial device 36A as fast as possible.

20 Finally, the Windows operating system has an intercharacter interval timer parameter, which defines when the interval between any two characters exceed a certain preset value. When this occurs a read operation is terminated. When the host Windows computer 28 is directly attached to the serial device, the host computer 28 takes the interval timer measurement directly; however,  
25 when the serial devices 36 are attached to the device server 34 on the network, the device server 34 must read the intercharacter timer interval, and take the measurement itself.

Conventionally, such interval timers were ignored by the device server 34. The host computer 28 performed the measurement. In most applications the  
30 measurement errors caused by network latencies were not problematic.

However, when high data rates and small inter-character timeouts are used, the network latencies cause both interval timeouts to be detected where they should not and valid timeout events to be missed.

Generally, relative to the FIGS. 2 and 3, the server driver 48 on the host computer 28 mediates between the applications 42 and the operating system 40. An application 42 on the host computer 28 makes a series of calls to the server driver 48 in order to perform a read operation with a specified inter-character timeout. The serial driver 48 sends the inter-character timeout setting to the device server 34 via the network.

The device server 34 times the data received from the serial device 36A,36B. When an inter-character interval is detected that exceeds the inter-character timeout setting, a timeout event is sent to the server driver 48 along with the serial data. The serial data and timeout events are sent in a sequence such that the server driver 48 is able to terminate application requested read operations at the correct points in the data stream. This eliminates the errors in inter-character timeout detection that had been introduced by network latency.

Generally, the above specified latency reduction techniques can be applied regardless of the transport protocol used for passing data to the physical layer of the network. Generally, TCP/IP is the most widely used transport protocol. However, TCP/IP introduces latency delays associated with duplicative error checking, which can be eliminated by introducing alternative transfer protocols.

For example, latency delays can be further reduced by implementing proprietary transfer protocols, such as the Rapid Transport Stack (RTS) protocol designed by Comtrol Corporation. The RTS protocol provides for transfer of two types of data: 1) Administrative packets used for identifying devices, for opening asynchronous connections, for downloading software, and for troubleshooting; and 2) Asynchronous packets used to control operation, to transfer data to and from the serial ports, and to report the status of the serial ports.

Generally, asynchronous packets are transferred reliably by the RTS link-layer protocol, in part, because asynchronous packets contain index numbers to insure that missing packets are re-transmitted and that packets are processed in the correct order. By contrast, administrative packets are not indexed, and any loss of administrative packets must be handled at the application level. In actual use, Asynchronous packets form a point-to-point link, while administrative packets may be broadcast.

For both kinds of packets, the RTS protocol depends on the standard Ethernet CRC hardware to detect and discard corrupted packets. The RTS protocol does not include any addressing mechanism of its own. Rather, it utilizes the Ethernet hardware addresses configured by the manufacturer of the Ethernet device. In order to differentiate RTS protocol packets from other network traffic, the RTS protocol uses a unique Ethernet packet type field value. In addition to the Ethernet address, the RTS protocol uses an additional byte in the packet header to distinguish between product families, such as between the VSx000 family and the RPSH/DeviceMaster family.

Ethernet hardware includes features for generation and verification of a 32-bit CRC to insure packet integrity. This feature is used by the RTS protocol instead of a software checksum. Each asynchronous packet header includes two fields used by the RTS protocol to insure link integrity: the "send index" and the "ack index." These are both 8-bit fields that are treated as modulo-256 counters that are incremented as packets are transmitted and received.

The send index is the index of the containing packet. The send index is incremented each time a packet is prepared for transmission. For example: the first packet sent has a send index of 0x00, the next one 0x01, then 0x02, 0x03, etc. This field is the count (modulo 256) of the number of indexed packets transmitted (retransmissions are not counted).

The ack index is the index of the packet expected next by the sender of the containing packet. For example, if the last packet correctly received contained a send index of 0x23, the next packet transmitted would contain an

ack index of 0x24. This field is the count (modulo 256) of the number of correctly received packets.

Finally, the retransmission of lost packets is accomplished by a simple ack/timeout algorithm. As packets are transmitted, the packets are also stored in a retransmit queue. As packets are received, any packets in the retransmit queue with send indexes less than the received ack index are removed from the retransmit queue. When a packet has been in the retransmit queue for longer than a fixed amount of time, it is retransmitted. Initially this timeout value was 1-2 seconds. In the current implementation, the retransmission timeout is 10 200ms. The retransmission will repeat at a fixed interval (same as the retransmission timeout) until an ack causes the packet to be removed or until the connection is shut down from the application level.

When packets are received, the index of the received packet is compared against the expected index value. If the received index is not correct, the packet is discarded. In order to control resource usage, an upper limit is placed on the number of packets in the retransmit queue. When this limit is reached, no additional indexed packets will be transmitted until a received ack index causes one or more packets to be deleted from the retransmit queue. In the current implementation, this limit is set at 8 packets.

20 In order to reduce overhead in the event of otherwise uni-directional traffic, acks may be delayed so long as the delay does not exceed the retransmit timeout and so long as the number of unacked packets does not exceed the retransmit queue count limit. In the current implementation, an empty non-indexed ack packet is sent if the number of unacked packets exceeds 4 or the age 25 the oldest unacked packet exceeds a fixed limit (originally 0.5-1 seconds, now 100ms).

In the case of steady bi-directional traffic, no explicit (or "bare") acks are required, since acks are piggybacked on packets that are being used to transfer data and control/status information.

In addition to the reliable transfer of async packets described above, the RTS protocol does provide a way to send "out of band" async packets by allowing non-indexed async packets. Such packets are not held for retransmission and are not acked. Therefore, non-indexed packets are not used  
5 for serial port control/data. The main use for non-indexed async packets is to send a "bare" ack that contains no data and will generate no ack of its own.

If the RTS protocol is used instead of TCP/IP, a number of advantages in latency reduction are achieved. First, the IP protocols utilize a media-independent "IP address" for each network node. This has a number of  
10 implications: 1) mechanisms or "helper protocols" are required for translating between IP addresses and media-dependent addresses; and 2) mechanisms are required for assigning and configuring IP addresses to specific network nodes. Since the RTS protocol uses only the media-dependent Ethernet address, the RTS protocol eliminates the need for the user to assign IP addresses to nodes  
15 and to subsequently configure those devices with the assigned IP addresses. Moreover, the RTS protocol also eliminates the need for "helper protocols" that provide for discovery of and translation between the two address families.

Additionally, by eliminating the additional checksums of TCP/IP over and above packet headers and packet data to detect and discard corrupted  
20 packets, the conversion time required is eliminated. The RTS protocol achieves similar error detection/correction functionality by relying on the Ethernet hardware to discard corrupted packets. This eliminates the processing overhead required by the checksums present in the TCP/IP protocols.

Finally, the TCP/IP is a byte-stream service that allows packets to be  
25 fragmented or coalesced at any point during transport. By contrast, the RTS protocol is a datagram protocol, which requires that the original packet boundaries be preserved during transport.

Thus, the RTS protocol provides the required data transmission with low overhead because it doesn't require software checksums and doesn't require  
30 address lookup and discovery. Additionally, the RTS protocol requires less

configuration because Ethernet devices are shipped with pre-configured, universally unique addresses.

However, the RTS protocol embodiment has a limitation that the devices must all be located on a single Ethernet network. The RTS protocol, though 5 offering reduced latency over a LAN, does not function over a Wide Area Network (WAN).

Finally, by changing the packet retransmission timeout to a shorter timeout interval (ie. from 1-2 seconds to 200ms) retransmission delays were effectively eliminated. This makes recovery of lost packets quicker and 10 smoother than prior implementations.

Thus, the present invention details several techniques for eliminating/reducing latency delays. The semi-blocking read functions for getting data as soon as it is available, the push to FIFO functions for writing data to the FIFO before writing the data to the queue for each serial device and the 15 intercharacter timeout function each serve to reduce latency regardless of the transport protocol. Additional efficiencies may be achieved by eliminating redundant error checking, such as through custom transport protocols. For dedicated LAN environments with extreme sensitivity to latency delays, the custom transport protocol offers the best performance; however, for most 20 applications, TCP is adequate.

While the present invention has been described with respect to a windows PC, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention.